PATENT 0230-0169P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

SHA, Shiken et al.

Conf.:

Appl. No.:

New

Group:

Filed:

October 1, 2001

Examiner:

For:

NOVEL PROTEINS, GENE ENCODING THE SAME

AND METHOD OF UTILIZATION THEREOF

INFORMATION DISCLOSURE STATEMENT (SUBMISSION CONCURRENT WITH THE FILING OF A NEW PATENT APPLICATION)

Assistant Commissioner for Patents Washington, DC 20231

October 1, 2001

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

II. COPIES

- Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

Docket No. 0230-0169P

III.			(PLANATION OF THE RELEVANCE least one box)
	a.		DOCUMENTS IN THE ENGLISH LANGUAGE
			The attached patents, publications, or other information in the English language do not require a statement of relevancy.
	b.		DOCUMENTS NOT IN THE ENGLISH LANGUAGE
			A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:
	c.	\boxtimes	ENGLISH LANGUAGE SEARCH REPORT
			An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).
	,	_	OMYTHD.

for the Examiner's consideration.

The following additional information is provided

☐ OTHER

d.

Docket No. 0230-0169P

FEES

being filed Information Disclosure Statement is concurrently with the filing of a new patent application; therefore, no fee is required.

If The Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

P.O. Box 747 Falls Church, VA 22040-0747 (703) 205-8000 Form PTO-1449(s)

Enclosures:

GMM/cqc 0230-0169P

> Documents

Foreign Search Report

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Other: International Search Report

(Rev. 01/22/01)

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U.S. PATENT DOCUMENTS											
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE					
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	FOI	REIGN PATENT									
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO					
	A2-892050	1999-01-20	EP								
	A11-106400	1999-04-20	JP								
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OTHER	DOCUMENTS (Include Name of the authorial, symposium, catalog, etc.) date, page(s), vo	or (in CAPITAL LETTERS), to	itle of the article (when appropr lisher, city and/or country where	iate), title	e of the item	n (book, magazine,					
	Nishi et al., Proceeding of Biotechnology Symposium, Vol. 15, pp. 159-164 (1997)										
	Aoki et al., Cytokine, Vol. 10, No. 8, pp. 596-602 (1998)										
	Nishi et al., Proceedings of Biotechnology Symposium, Vol. 16, pp. 161-166 (1998)										
EXAMINER			DATE CONSIDERED								
EXAMINER: In: considered.	itial if citation considered, whether or not cit Include copy of this form with next communicati	ation is in conformance wi	ith M.P.B.P. 609; Draw line through	gh citation	if not in co	onformance and not					